## DT05 Rec'd PCT/PTO 1 0 SEP 2002

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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			Application Number	10/069,386	
TRANSMITTAL			Filing Date	08/17/2000	
	<b>FORM</b>	!	First Named Inventor	Alaoui-Jamali	
(to be used for a	ll correspondence after	initial filing)	Group Art Unit		
			Examiner Name		
Total Number o	f Pages in This Submis	sion 15	Attorney Docket Number	SWA-001 (1011/002)	
		ENCL	OSURES (check	all that apply)	
Fee Transmittal Form	ì	Assignm (for an A	nent Papers Application) n(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Licensin	g-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition		Proprietary Information	
			to Convert to a nal Application	Status Letter	
<del>  -                                  </del>		Power of Change Address	of Attorney, Revocation of Correspondence	Other Enclosure(s) (please identify below):	
			al Disclaimer	Return Postcard	
Express Abandonment Request		Reques	t for Refund		
Information Disclosure Statement CD		CD, Nu	umber of CD(s)		
Certified Copy of Priority Document(s)  Remarks			Ţ		
Response to Missing Parts/ Incomplete Application  Response to Missing Parts  1. Stateme 2. Prelimina 3. Paper Co		<ol> <li>Preliminary</li> <li>Paper Copy</li> </ol>			
under 37 CFI	R 1.52 or 1.53		Declaration of Inventors	orm of Sequence Listing	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	or Wayne A. Keown - Reg. No. 33,923				
Signature	Signature W. A. K				
Date 9/4/02					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box PCT, Washington, DC 20231 on:					
Typed or printed name	Melanie Leg	jare			
Signature Mulanifleare Date 9/5/02					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

570.00 (\$) **TOTAL AMOUNT OF PAYMENT** 

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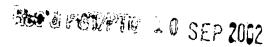
Complete if Known		
Application Number	10/069,386	
Filing Date	08/17/2000	
First Named Inventor	Alaoui-Jamali	
Examiner Name	TBA	
Group Art Unit	TBA	
Attorney Docket No.	SWA-001 (1011/002)	

	METHOD OF PAYMENT	FEE CALCULATION (continued)				
I	1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
]	indicated fees and credit any overpayments to:  Deposit	Large Small				
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	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
1	Applicant claims small entity status.	139 130 139 130 Non-English specification				
1	See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
	2. Payment Enclosed:  Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	]			
	FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	400.00			
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	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month				
	101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month				
	106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
	107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
	108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
	114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
	SUBTOTAL (1) (\$)	138 1,510 138 1,510 Petition to institute a public use proceeding				
ľ		140 110 240 55 Petition to revive - unavoidable	$\dashv$			
	2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
	Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee				
,	Independent 3** = X	144 620 244 310 Plant issue fee				
	Claims ————————————————————————————————————	122 130 122 130 Petitions to the Commissioner				
		123 50 123 50 Processing fee under 37 CFR 1.17(q)				
•	Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt	$\neg \neg$			
	Fee Fee Fee Fee Description Code (\$) Code (\$)		0.00			
	103 18 203 9 Claims in excess of 20	property (times number of properties)	0.00			
	102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	}			
	104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 "Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
	110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	j			
09/12/200		169 900 169 900 Request for expedited examination of a design application				
01 FC:154	SUBTO (\$)	Other fee (specify)				
	**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 570	.00			

SUBMITTED BY			Complete	Complete (if applicable)	
Name (Print/Type)	Wayne A. Keown, Ph.D.	Registration No. (Attorney/Agent) 33,923	Telephone	781-938-1805	
Signature	W. a. K		Date	914/02	

WARNING! Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Alaoui-Jamali et al.

Serial No.:

10/069,386

Filed:

August 17, 2000

**Entitled:** 

REPLICATION PROTEIN A BINDING TRANCRIPTIONAL FACTOR

(RBT1) AND USES THEREOF

Examiner:

**Not Yet Assigned** 

**Group Art Unit:** 

Attorney Docket No.: SWA-001 (1011/002)

Commissioner for Patents - Box PCT U.S. Patent & Trademark Office Washington, DC 20231

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

In response to the Notification of Missing Requirements mailed May 10, 2002, filing date granted, Applicant submits the following documents for filing in the above-referenced patent application:

- 1. A copy of the Notification of Missing Requirements;
- A properly signed Declaration and Power of Attorney in compliance with 37 C.F.R. §1.163;
- 3. Surcharge fee as requested in the total amount of \$130.00;
- 4. Preliminary Amendment;
- 5. Paper copy of Sequence Listing;
- 6. Diskette containing computer-readable form of Sequence Listing; and
- 7. Statement Under 37 C.F.R. §1.82(f).

Enclosed is a Petition for Two-Month Extension of Time up to and including September 10, 2002 along with the requisite fee. Also enclosed is a self-addressed, postage prepaid postcard. Please date-stamp the postcard indicating timely receipt by the U.S. Receiving Office of the subject documents, and return it to us for our files.

Dated:

9/4/02

Respectfully submitted

Wayn A. Keown, Ph.D., Reg. 33,923

Keown & Associates

500 West Cummings Park - Suite 1200

Woburn, MA 01801

781-938-1805 (telephone)

781-938-4777 (fax)